



# INDIAN INSTITUTE OF MANAGEMENT MUMBAI

## Admission Policy for the Ph.D. Programme

### REGULAR Ph.D. PROGRAMME

The Indian Institute of Management, Mumbai, admits Ph.D. Scholars with financial assistance. The programme encompasses various facets of contemporary Industrial, Business, and Social Issues in *Operations and Supply Chain Management, Analytics and Data Science, Organizational Behaviour & Human Resource Management, Marketing, Finance, Economics & Strategy, and Sustainability Management.*

The programme admits regular candidates from Academic Institutions, Universities, Government, industry, and other organizations. IIM, Mumbai invites individuals with strong academic foundation and zeal to pursue cutting-edge research.

#### 1. Eligibility for Ph.D. Admission

Candidates must possess-

A master's degree or Two-year Post Graduate Diploma (obtained after completing a bachelor's degree with 55% marks or equivalent) or a 5-year Integrated master's degree (obtained after completing higher secondary school in the 10+2 pattern) in any field with 55% marks or equivalent.

(OR)

Professional qualification - CA, CS, CMA (with a minimum of 50% marks) in addition to a bachelor's degree (with at least 55% marks).

(OR)

A four-year / eight-semester bachelor's degree with a minimum CGPA of 6.5/10 or comparable grades.

Note that the Bachelor's/ Master's degree or equivalent qualification should be from any of the Universities incorporated by an act of the central or state legislature in India or other educational institutions established by an act of Parliament or declared to be deemed as a

University under section 3 of UGC Act, 1956; or be recognized by the Ministry of Education, Government of India; or possess an equivalent qualification from an institution approved by AICTE.

Candidates in their last year of a Master's/ bachelor's programme that would qualify them to apply may also apply. Such candidates, if selected, will only be allowed to join the programme provisionally if they submit a certificate latest by May 31, of the year of admission from the Principal/Head of the Department/Registrar or Director of the university/institute (issued on or before May 31, of the year of admission) stating that they have appeared for the examinations (including practical examinations) in all the subjects required for obtaining the Master's/Bachelor's degree/equivalent qualification. Their admission will be confirmed when they submit mark sheets and a certificate of having completed the Master's/bachelor's degree/equivalent qualification referred in the certificate issued by the Principal/Registrar of their college/institution. The deadline for submitting Marksheet and the Certificate is December 31, of the year of admission.

Please note that those candidates who have applied based on their master's degree will have to meet the 55% marks stipulation, and those who have applied based on their bachelor's degree will have to meet the 6.5 CGPA (or equivalent) marks stipulation. Non-fulfillment of these conditions will automatically result in the cancellation of the provisional admission.

**Reservation:** A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently Abled, Economically Weaker Section (EWS) as per GoI rules.

## 2 SELECTION PROCESS

### 2.1 Selection Process

The selection of candidates for admission at IIM, Mumbai, is a three-step process. First, initial screening and shortlisting; second, written test, and third, Research Presentation and Personal Interview.

Candidates applying to the Ph.D. Programme are required to take the Common Admission Test (CAT) or a standard test in lieu of CAT. Candidates applying to the Ph.D. Programme in their Areas can take GRE/GMAT/UGC JRF/GATE in their areas in lieu of CAT.

The validity of the CAT and the other standard test scores are given in the following table. Note that the scores should be valid on the deadline for submission of the application form.

CAT	5 Years
GRE#	5 Years
GMAT#	5 Years
GATE	3 Years
UGC JRF	3 Years

# Online GMAT/GRE (Take home) exam scores are not valid. Only test center-based GMAT/GRE scores are valid.

Alumni of all IIMs should also meet the standard test score requirements as mentioned above.

Candidates will be short-listed based on their performance in CAT or the standard test in lieu of CAT, academic background, and experience. The Ph.D. admission interviews will be held during March-April of the year of admission.

## **2.2 Initial Screening & Shortlisting**

In this first step, candidates are screened from among the candidates:

- Who has submitted the filled-in application form to the Ph.D. Programme and paid the prescribed application fees within the window of time specified, and
- Who fulfills the eligibility criteria for the Ph.D. Programme, and
- Who has passed the qualifying exams with a valid score

Candidates shortlisted for admission process to the Ph.D. Programme at IIM, Mumbai, will only be intimated by email and/ or online notification. Individual regret communication will not be sent to applicants.

Shortlisted candidates will be called for IIM, Mumbai written test, Research Presentation, and Personal Interview (PI) at IIM, Mumbai. All shortlisted candidates must attend IIM, Mumbai written test, Research Presentation, and Personal Interview, otherwise, they shall not be considered for the admission process further.

## **2.3 Selection Process for Shortlisted Candidates**

All shortlisted candidates must attend IIM, Mumbai written test, Research Presentation and Personal Interview. However, the institute reserves the right to change the admission process.

### **1) Written Test**

All shortlisted candidates will appear for the written test. The written test will include multiple choice questions, subjective questions, and a writing ability section. Written test questions will include arithmetic problems, general awareness, data interpretation, verbal ability, and logical reasoning.

### **2) Research Presentation and Personal Interview**

All candidates must appear for a Research Presentation and Personal Interview. Research Presentation will be based on the research proposal submitted by the candidate. This evaluation will be done by panels comprising faculty of IIM, Mumbai, experts from academia and industry. Personal Interview will be conducted along with the research presentation by the same area panel. The panel may test the subject knowledge, potential, motivation for research, communication skills, and overall research potential. Project/ Work experience in the mentioned research areas would be preferred.

## **2.4 Merit List Preparation**

The final merit list shall be prepared based on the performance of the candidates in the different evaluation components, as shown in the table below.

<b>Sr No.</b>	<b>Particular</b>	<b>Weightage (%)</b>
1	Qualifying Exam Score	20
2	IIM Mumbai Written Test	20

3	Research Presentation (RP)	20
4	Personal Interview (PI)	20
5	Past Academic Performance	20
	Total	100

The final merit list shall be prepared based on the composite score of all candidates. However, the Institute reserves the right to change the evaluation component and its weightage.

Selected candidates must join the Ph.D. Programme on the starting date, as stipulated by the Institute.

### **2.5 Offer Acceptance, Withdrawal, and Refund**

Candidates shortlisted for admission to the Ph.D. Programme at IIM, Mumbai, will be intimated by email and/ or online notification. Individual regret communication will not be sent to applicants. A candidate receiving an admission offer from IIM, Mumbai, and accepting the offer within the stipulated date specified must pay the full admission fees. If a candidate subsequently withdraws the admission at any point of time, then the paid amount will be refunded after deducting processing charges as per norms as described below:

A candidate who does not join the Programme after paying the academic charges, other annual charges, and deposits, etc., and has intimated the Institute of his/her decision of not joining, and if this intimation is received in writing by the Institute before the commencement of the Programme and if the vacant seat is filled by the waitlisted candidate then the academic charges, other charges, and deposits will be refunded as per Government of India rules vide notification no. 14-4/2007 –U.3(A).

When a Scholar discontinues from the Programme after joining the Programme or is terminated by the Institute, whether in the beginning or mid-way and the resultant vacancy cannot be filled by the waitlisted candidates, then the academic charges and other annual charges paid by him/her will stand forfeited. However, his/her deposits will be refunded to him/her after deducting the outstanding amounts specified with regard to the NO DUES CERTIFICATE issued by various departments.

### **2.6 Admission Closes**

- The complete admission process shall be over before the commencement of classes.
- In all matters of interpretation, the decision of the Institute shall be final and binding on the candidate.
- Any legal matter related to rules and regulations under the Ph.D. Admission Process of the academic year shall be subject to Mumbai jurisdiction only.

### 3 FEE STRUCTURE

SR. NO	FEES NOMENCLATURE	REGULAR - JUNE 2023 ONWARDS	
		1ST YEAR	2ND YEAR ONWARDS AT THE BEGINNING OF EACH YEAR*
1	<b>FEES</b>	<b>(₹)</b>	<b>(₹)</b>
	<b>ADMISSION FEE</b>	2000	NA
	<b>TUITION FEE**</b>	24000	24000
2	<b>FACILITIES CHARGES</b>	6000	6000
3	<b>IIM ALUMNI ASSOCIATION (MEMBERSHIP AND CONTRIBUTION)</b>	3000	-
4	<b>LIBRARY FEE</b>	1000	1000
5	<b>HOSTEL CHARGES*</b>	24000	24000
6	<b>DEPOSITS</b>	5000	NA
	<b>TOTAL</b>	<b>65000</b>	<b>55000</b>
	<b>IIM ALUMNI ASSOCIATION (MEMBERSHIP AND CONTRIBUTION)</b>		#10000

# Alumni Life Membership to be paid after the defense.

\* Fee for 2<sup>nd</sup> year onwards to be paid at the beginning of each year till the submission of thesis.

*Delay in the payment of fees beyond the prescribed time will lead to penalty and subsequent cancellation of the candidature from the Ph.D. programme.*

#### LEVELS OF COURSE WORK - Credit System for Ph.D. Programme:

The following detailed list provides the level-wise courses across three different modules.

Summary of Course Distribution among Modules						
Course Level	Total Courses	Total Credit	M 1	M 2	M 3	Type of Course
L1	5	15	2	1	2	PhD Core courses
L2	2	6	1	1	-	PGP Courses (Courses from domain and other areas)
L3	4	12	2	1	1	Area Courses (Max 4)
L4	2	6	-	1	1	Seminar Presentation
<b>Total</b>	<b>13</b>	<b>39</b>	<b>5</b>	<b>4</b>	<b>4</b>	

#### **4.0 EXECUTIVE Ph. D PROGRAMME**

The Indian Institute of Management, Mumbai, admits professionally employed persons as Executive Ph.D. Scholars without any financial assistance. The programme encompasses various facets of contemporary Industrial, Business, and Social Issues in *Operations and Supply Chain Management, Analytics and Data Science, Organizational Behaviour & Human Resource Management, Marketing, Finance, Economics & Strategy, and Sustainability Management.*

A Scholar in this category is a professionally employed person, who pursues the doctoral Programme while continuing the duties of his/her service. The Institute does not provide any assistantship/fellowship to such a Scholar. The research work leading to doctoral degree may be carried out largely in the parent organization under the overall guidance provided by a faculty member of the Institute.

- a) Officer/ Executive level employees of All India Services/ Central Government (Ministry of Defence, and all other Ministries and Departments)/ State Governments /Public Sector Undertakings/ Autonomous Bodies;
- b) Individuals with rich Managerial experience from reputed Private Organizations.
  - Such Organizations shall be listed in the premier Stock Exchanges and shall have an annual turnover of at least 200 crore rupees.
  - There would be no residential requirements for such candidates. However, the candidate has to spend at least one week per semester at IIM MUMBAI, interacting with his supervisor(s). During this period, Scholars may avail hostel facilities if available on an actual payment basis.
  - Candidates should preferably have demonstrated research potential through research papers in reputed International Conferences/ Journals/ Patents/ Technology transfer.

#### **4.1 ELIGIBILITY FOR EXECUTIVE Ph.D. ADMISSION**

A 1-year/2-semester master's degree programme (Full Time) with at least 65% marks in aggregate after a 4 year/8-semester bachelor's degree programme (Full Time) with at least 65% marks or a 2-year/4-semester master's degree programme (Full Time) with at least 65% marks after a 3-year bachelor's degree programme (Full Time) with at least 65% marks, or its equivalent grade wherever grading system is followed. Candidates applying based on master's degree programme should have at least 2 years of professional experience after master's degree.

(OR)

A candidate seeking admission after a 4-year/8-semester Bachelor's degree programme (Full Time) should have a minimum of 75% marks in aggregate or its equivalent grade on point scale wherever the grading system is followed. Candidates applying based on Bachelor's degree programme should have a minimum of 4 years of professional experience after Bachelor's degree.

(OR)

A Candidate with professional qualifications CA, ICWA, CS (with at least 60% aggregate marks) along with a B.Com/ Degree (with at least 60% aggregate marks). Candidates applying based on the mentioned degree should have at least 2 yrs. of professional experience after completion of their professional degree.

**Reservation:** A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently Abled, Economically Weaker Section (EWS) as per GoI rules.

The upper age limit is 45 years. For exceptionally brilliant Executive Ph.D. candidates the age limit can be relaxed to 55 years. Candidates should preferably have demonstrated research potential through research papers in reputed International Conferences/ Journals/ Patents/ Technology transfer.

Candidates who meet the above requirements should look out for prospective faculty member(s) from IIM MUMBAI in the area where he/she is interested to apply for his/her Doctoral Programme. A research proposal approved by the prospective Faculty Guide(s) shall be submitted by the candidate at the time of the application.

## **4.2 SELECTION PROCESS**

### **4.2.1 Selection Process**

The selection of candidates for admission at IIM, Mumbai, is a three-step process. First, initial screening and shortlisting; second, written test, and third, research presentation and personal interview.

Candidates will be short-listed based on their academic background and experience. The Executive Ph.D. admission interviews will be held during March-April of the admission year.

### **4.2.2 Initial Screening & Shortlisting**

In this first step, candidates are screened from among the candidates:

- Who has submitted the filled-in application form to the Executive Ph.D. Programme and paid the prescribed application fees within the window of time specified, and
- Who fulfils the eligibility criteria for the Executive Ph.D. Programme

Candidates shortlisted for admission process to the Executive Ph.D. Programme at IIM, Mumbai, will only be intimated by email and/ or online notification. Individual regret communication will not be sent to applicants.

Shortlisted candidates will be called for IIM Mumbai written test, research presentation, and personal interview (PI) at IIM, Mumbai.

### **4.2.3 Selection Process for Shortlisted Candidates**

All shortlisted candidates must attend IIM Mumbai written test, research presentation, and personal interview, otherwise, they shall not be considered for the admission process further.

### 1) Written Test

All shortlisted candidates will appear for the written test. The written test will include multiple choice questions, subjective questions, and a writing ability section. Written test questions will include arithmetic problems, general awareness, data interpretation, verbal ability, and logical reasoning.

### 2) Research Presentation and Personal Interview

All candidates must appear for a Research Presentation and Personal Interview. Research Presentation will be based on the research proposal submitted by the candidate. This evaluation will be done by panels comprising faculty of IIM, Mumbai, experts from academia and industry. Personal Interview will be conducted along with the research presentation by the same area panel. The panel may test the subject knowledge, potential, motivation for research, communication skills, and overall research potential. Project/ Work experience in the mentioned research areas would be preferred.

#### 4.2.4 Merit List Preparation

The final merit list shall be prepared based on the performance of the candidates in the different evaluation components, as shown in the table below.

Sr No.	Particular	Weightage (%)
1)	IIM Mumbai Written Test	20
2)	Research Presentation (RP)	20
3)	Personal Interview (PI)	20
4)	Past Academic Performance	20
5)	Experience	20
	<b>Total</b>	<b>100</b>

The final merit list shall be prepared based on the composite score of all candidates. However, the Institute reserves the right to change the evaluation component and its weightage.

Selected candidates must join the Executive Ph.D. Programme on the starting date, as stipulated by the Institute.

#### 4.2.5 Offer Acceptance, Withdrawal, and Refund

Candidates shortlisted for admission to the Executive Ph.D. Programme at IIM, Mumbai, will be intimated by email and/ or online notification. Individual regret communication will not be sent to applicants. A candidate receiving an admission offer from IIM, Mumbai, and accepting the offer within the stipulated date specified must pay the full admission fees. If a candidate subsequently withdraws the admission at any point of time, then the paid amount will be refunded after deducting processing charges as per norms as described below:

A candidate who does not join the Programme after paying the academic charges, other annual charges, and deposits, etc., and has intimated the Institute of his/her decision of not joining, and if this intimation is received in writing by the Institute before the commencement of the Programme and if the vacant seat is filled by the waitlisted candidate then the academic



charges, other charges, and deposits will be refunded as per Government of India rules vide notification no. 14-4/2007 –U.3(A).

When a Scholar discontinues from the Programme after joining the Programme or is terminated by the Institute, whether in the beginning or mid-way and the resultant vacancy cannot be filled by the waitlisted candidates, then the academic charges and other annual charges paid by him/her will stand forfeited. However, his/her deposits will be refunded to him/her after deducting the outstanding amounts specified with regard to the NO DUES CERTIFICATE issued by various departments.

#### **4.2.6 Admission Closes**

- The complete admission process shall be over before the commencement of classes.
- In all matters of interpretation, the decision of the Institute shall be final and binding on the candidate.
- Any legal matter related to rules and regulations under the Executive Ph.D. Admission Process of the academic year shall be subject to Mumbai jurisdiction only.

#### **5. FEE STRUCTURE - ₹.1.5 Lakhs per year.**

## **LEVELS OF COURSE WORK - Credit System for Ex.Ph.D. Programme:**

The course work credit requirements are as follows:

- (A) In case of a Master's degree (Full Time – 2 years or equivalent) from any IIMs/ IITs/ NITs or national level, the candidate will have to complete a total of 12 credits within one year from the date of admission.
- (B) In case of a Master's degree or equivalent in Economics, Commerce, Social Sciences, and Sciences from any recognized University/ Institution, the candidate will have to complete a total of 18 credits within one year from the date of admission.
- (C) In the case of Graduates having BTech/BE or equivalent degree from IITs/ NITs or other national level institutes, the candidate will have to complete a total of 24 credits within one year from the date of admission.

The credit requirement, as per the course outline, can be completed in the form of a project report and seminar (for continuous evaluation). For the final assessment, candidates shall appear for only the end semester examinations at IIM Mumbai, preferably during the regular examination period of IIM Mumbai. The candidate can enrol for the courses which will be in line with the courses taught at IIM Mumbai or as per the expertise of the TAC members.

### **(1) Level of Courses for A:**

12 credits are covered with the following three-level of courses:

<b>Level of course</b>	<b>Type of courses</b>	<b>Number of courses</b>	<b>Nature of courses</b>
Level – I	Foundation courses	1 (3 credit each)	Compulsory
Level – II	PGP approved courses/ Online courses (Max 2)	2 (3 credit each)	Electives
Level – III	Seminar Presentation	1 (3 credit each)	Seminar based
<b>Total courses</b>		<b>4</b>	<b>12 credits</b>

### **(2) Level of Courses for B:**

18 credits are covered with the following three-level of courses:

<b>Level of course</b>	<b>Type of courses</b>	<b>Number of courses</b>	<b>Nature of courses</b>
Level – I	Foundation courses	2 (3 credit each)	Compulsory
Level – II	PGP approved courses/ Online courses (Max 2)	3 (3 credit each)	Electives
Level – III	Seminar Presentation	1 (3 credit each)	Seminar based
<b>Total courses</b>		<b>6</b>	<b>18 credits</b>

### **(3) Level of Courses for C:**

24 credits are covered with the following three-level of courses:

<b>Level of course</b>	<b>Type of courses</b>	<b>Number of courses</b>	<b>Nature of courses</b>
Level – I	Foundation courses	3 (3 credit each)	Compulsory
Level – II	PGP approved courses/ Online courses (Max 2)	4 (3 credit each)	Electives
Level – III	Seminar Presentation	1 (3 credit each)	Seminar based
<b>Total courses</b>		<b>8</b>	<b>24 credits</b>

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